

## **STIC Biotechnology Systems Branch**

### **RAW SEQUENCE LISTING**

### **ERROR REPORT**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/534,424  
Source: IFWP  
Date Processed by STIC: 8/4/06

**THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.**

**PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:**

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

**FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221**

**TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.4.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:**

**<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>**

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , **EFS Submission User Manual - ePAVE**)
2. **U.S. Postal Service:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. **Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):**  
U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/10/06

## Raw Sequence Listing Error Summary

<u>ERROR DETECTED</u>	<u>SUGGESTED CORRECTION</u>	SERIAL NUMBER: <u>10/534,424</u>
ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE		
1 _____ Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor <b>after</b> creating it. Please adjust your right margin to .3; this will prevent "wrapping."	
2 _____ Invalid Line Length	The rules require that a line <b>not exceed</b> 72 characters in length. This includes white spaces.	
3 _____ Misaligned Amino Numbering	The numbering under each 5 <sup>th</sup> amino acid is misaligned. Do <b>not</b> use tab codes between numbers; use <b>space characters</b> , instead.	
4 <u>J</u> _____ Non-ASCII	The submitted file was <b>not</b> saved in ASCII(DOS) text, as <b>required</b> by the Sequence Rules. <b>Please ensure your subsequent submission is saved in ASCII text.</b>	
5 _____ Variable Length	Sequence(s) _____ contain n's or Xaa's representing more than one residue. <b>Per Sequence Rules, each n or Xaa can only represent a single residue.</b> Please present the <b>maximum</b> number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6 _____ PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) _____. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. <b>This applies to the mandatory &lt;220&gt;-&lt;223&gt; sections for Artificial or Unknown sequences.</b>	
7 _____ Skipped Sequences (OLD RULES)	Sequence(s) _____ missing. If intentional, please insert the following lines for <b>each</b> skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to <b>include</b> the skipped sequences.	
8 _____ Skipped Sequences (NEW RULES)	Sequence(s) _____ missing. If <b>intentional</b> , please insert the following lines for <b>each</b> skipped sequence. <210> sequence id number <400> sequence id number 000	
9 _____ Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is <b>MANDATORY</b> if n's or Xaa's are present. In <220> to <223> section, please explain location of <b>n</b> or <b>Xaa</b> , and which residue <b>n</b> or <b>Xaa</b> represents.	
10 _____ Invalid <213> Response	Per 1.823 of Sequence Rules, the only <b>valid</b> <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is <b>required</b> when <213> response is Unknown or is Artificial Sequence. (see item 11 below)	
11 _____ Use of <220>	Sequence(s) _____ missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is <b>MANDATORY</b> if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section or use "chemically synthesized" as explanation. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32), also Sec. 1.823 of Sequence Rules	
12 _____ PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
13 _____ Misuse of n/Xaa	<b>"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid</b>	



IFWP

## RAW SEQUENCE LISTING

DATE: 08/04/2006

PATENT APPLICATION: US/10/534,424

TIME: 12:58:46

Input Set : A:\PTO.KD.txt

Output Set: N:\CRF4\08042006\J534424.raw

2 <110> APPLICANT: Japan Science and Technology Agency  
W--> 3 <120> TITLE OF INVENTION: Transcriptional regulator ZHX3  
W--> 4 <130> FILE REFERENCE: FS03-323PCT  
C--> 5 <140> CURRENT APPLICATION NUMBER: US/10/534,424  
C--> 5 <141> CURRENT FILING DATE: 2005-05-10  
W--> 5 <160> NUMBER OF SEQ ID: 35  
6 <170> SOFTWARE: PatentIn version 3.1

*see item 4 on  
Error Summary  
Sheet*

**Does Not Comply  
Corrected Diskette Needed**

## ERRORED SEQUENCES

395 <210> SEQ ID NO: 35  
396 <211> LENGTH: 25  
397 <212> TYPE: DNA  
398 <213> ORGANISM: Artificial sequence  
W--> 399 <220> FEATURE:  
W--> 399 <223> OTHER INFORMATION:  
W--> 399 <400> SEQUENCE: 35  
400 catgcatggt gtggtggatt tcctc  
E--> 402 Pct/JP03/09164  
E--> 403 1/14  
E--> 404 1

*see p.2 for  
this global  
error*

25

*(see p.7 for sample of  
error)*

*delete*

RAW SEQUENCE LISTING ERROR SUMMARY  
PATENT APPLICATION: US/10/534,424

DATE: 08/04/2006  
TIME: 12:58:47

Input Set : A:\PTO.KD.txt

Output Set: N:\CRF4\08042006\J534424.raw

*error explanation*

Use of <220> Feature (NEW RULES):

Sequence(s) are missing the <220> Feature and associated headings.

Use of <220> to <223> is MANDATORY if <213> ORGANISM is "Artificial Sequence" or "Unknown". Please explain source of genetic material in <220> to <223> section (See "Federal Register," 6/01/98, Vol. 63, No. 104, pp.29631-32) (Sec.1.823 of new Rules)

Seq#:3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27,28,29

Seq#:30,31,32,33,34,35

*The above sequence show this error*

## VERIFICATION SUMMARY

DATE: 08/04/2006

PATENT APPLICATION: US/10/534,424

TIME: 12:58:47

Input Set : A:\PTO.KD.txt

Output Set: N:\CRF4\08042006\J534424.raw

L:3 M:283 W: Missing Blank Line separator, <120> field identifier  
L:4 M:283 W: Missing Blank Line separator, <130> field identifier  
L:5 M:270 C: Current Application Number differs, Replaced Current Application No  
L:5 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:5 M:283 W: Missing Blank Line separator, <160> field identifier  
L:7 M:283 W: Missing Blank Line separator, <210> field identifier  
L:11 M:283 W: Missing Blank Line separator, <400> field identifier  
L:136 M:283 W: Missing Blank Line separator, <400> field identifier  
L:207 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:3, <213>  
ORGANISM:Artificial sequence  
L:207 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:3, <213>  
ORGANISM:Artificial sequence  
L:207 M:283 W: Missing Blank Line separator, <400> field identifier  
L:207 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:3,Line#:207  
L:213 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:4, <213>  
ORGANISM:Artificial sequence  
L:213 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:4, <213>  
ORGANISM:Artificial sequence  
L:213 M:283 W: Missing Blank Line separator, <400> field identifier  
L:213 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:4,Line#:213  
L:219 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:5, <213>  
ORGANISM:Artificial sequence  
L:219 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:5, <213>  
ORGANISM:Artificial sequence  
L:219 M:283 W: Missing Blank Line separator, <400> field identifier  
L:219 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:5,Line#:219  
L:225 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:6, <213>  
ORGANISM:Artificial sequence  
L:225 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:6, <213>  
ORGANISM:Artificial sequence  
L:225 M:283 W: Missing Blank Line separator, <400> field identifier  
L:225 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:6,Line#:225  
L:231 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:7, <213>  
ORGANISM:Artificial sequence  
L:231 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:7, <213>  
ORGANISM:Artificial sequence  
L:231 M:283 W: Missing Blank Line separator, <400> field identifier  
L:231 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:7,Line#:231  
L:237 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:8, <213>  
ORGANISM:Artificial sequence  
L:237 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:8, <213>  
ORGANISM:Artificial sequence  
L:237 M:283 W: Missing Blank Line separator, <400> field identifier  
L:237 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:8,Line#:237  
L:243 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:9, <213>  
ORGANISM:Artificial sequence  
L:243 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:9, <213>  
ORGANISM:Artificial sequence  
L:243 M:283 W: Missing Blank Line separator, <400> field identifier  
L:243 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:9,Line#:243  
L:249 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:10, <213>  
ORGANISM:Artificial sequence  
L:249 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:10, <213>

ORGANISM:Artificial sequence  
L:249 M:283 W: Missing Blank Line separator, <400> field identifier  
L:249 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:10,Line#:249  
L:255 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:11, <213>  
ORGANISM:Artificial sequence  
L:255 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:11, <213>  
ORGANISM:Artificial sequence  
L:255 M:283 W: Missing Blank Line separator, <400> field identifier  
L:255 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:11,Line#:255  
L:261 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:12, <213>  
ORGANISM:Artificial sequence  
L:261 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:12, <213>  
ORGANISM:Artificial sequence  
L:261 M:283 W: Missing Blank Line separator, <400> field identifier  
L:261 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:12,Line#:261

## VERIFICATION SUMMARY

DATE: 08/04/2006

PATENT APPLICATION: US/10/534,424

TIME: 12:58:47

Input Set : A:\PTO.KD.txt

Output Set: N:\CRF4\08042006\J534424.raw

L:267 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:13, <213>  
ORGANISM:Artificial sequence  
L:267 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:13, <213>  
ORGANISM:Artificial sequence  
L:267 M:283 W: Missing Blank Line separator, <400> field identifier  
L:267 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:13,Line#:267  
L:273 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:14, <213>  
ORGANISM:Artificial sequence  
L:273 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:14, <213>  
ORGANISM:Artificial sequence  
L:273 M:283 W: Missing Blank Line separator, <400> field identifier  
L:273 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:14,Line#:273  
L:279 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:15, <213>  
ORGANISM:Artificial sequence  
L:279 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:15, <213>  
ORGANISM:Artificial sequence  
L:279 M:283 W: Missing Blank Line separator, <400> field identifier  
L:279 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:15,Line#:279  
L:285 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:16, <213>  
ORGANISM:Artificial sequence  
L:285 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:16, <213>  
ORGANISM:Artificial sequence  
L:285 M:283 W: Missing Blank Line separator, <400> field identifier  
L:285 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:16,Line#:285  
L:291 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:17, <213>  
ORGANISM:Artificial sequence  
L:291 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:17, <213>  
ORGANISM:Artificial sequence  
L:291 M:283 W: Missing Blank Line separator, <400> field identifier  
L:291 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:17,Line#:291  
L:297 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:18, <213>  
ORGANISM:Artificial sequence  
L:297 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:18, <213>  
ORGANISM:Artificial sequence  
L:297 M:283 W: Missing Blank Line separator, <400> field identifier  
L:297 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:18,Line#:297  
L:303 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:19, <213>  
ORGANISM:Artificial sequence  
L:303 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:19, <213>  
ORGANISM:Artificial sequence  
L:303 M:283 W: Missing Blank Line separator, <400> field identifier  
L:309 M:283 W: Missing Blank Line separator, <400> field identifier  
L:315 M:283 W: Missing Blank Line separator, <400> field identifier  
L:321 M:283 W: Missing Blank Line separator, <400> field identifier  
L:327 M:283 W: Missing Blank Line separator, <400> field identifier  
L:333 M:283 W: Missing Blank Line separator, <400> field identifier  
L:339 M:283 W: Missing Blank Line separator, <400> field identifier  
L:345 M:283 W: Missing Blank Line separator, <400> field identifier  
L:351 M:283 W: Missing Blank Line separator, <400> field identifier  
L:357 M:283 W: Missing Blank Line separator, <400> field identifier  
L:363 M:283 W: Missing Blank Line separator, <400> field identifier  
L:369 M:283 W: Missing Blank Line separator, <400> field identifier  
L:375 M:283 W: Missing Blank Line separator, <400> field identifier

L:381 M:283 W: Missing Blank Line separator, <400> field identifier  
L:387 M:283 W: Missing Blank Line separator, <400> field identifier  
L:393 M:283 W: Missing Blank Line separator, <400> field identifier  
L:399 M:283 W: Missing Blank Line separator, <400> field identifier  
L:402 M:254 E: No. of Bases conflict, LENGTH:Input:9164 Counted:32 SEQ:35  
L:402 M:320 E: (1) Wrong Nucleic Acid Designator, NUMBER OF INVALID KEYS:7  
L:402 M:112 C: (48) String data converted to lower case,  
M:254 Repeated in SeqNo=35  
L:403 M:320 E: (1) Wrong Nucleic Acid Designator, NUMBER OF INVALID KEYS:2  
L:404 M:252 E: No. of Seq. differs, <211> LENGTH:Input:25 Found:33 SEQ:35



10/534,424 ?

<210> 3  
<211> 19  
<212> DNA  
<213> Artificial sequence  
<400> 3  
agcttcccga attctgcag

needs explanation  
in 2207-2237 section